

For Six Month Period Ending 30 JUNE 2008
(Insert date)

I - REGISTRANT

1. (a) Name of Registrant

(b) Registration No.

BUTTERFIELD CARTER
& ASSOCIATES

5398

(c) Business Address(es) of Registrant

1010 Pennsylvania Ave, SE
Washington, DC 20003 /cmi

2. Has there been a change in the information previously furnished in connection with the following:

(a) If an individual:

(1) Residence address(es)

Yes ☐

No ☐

(2) Citizenship

Yes ☐

No ☐

(3) Occupation

Yes ☐

No ☐

(b) If an organization:

(1) Name

Yes ☐

No ☒

(2) Ownership or control

Yes ☐

No ☒

(3) Branch offices

Yes ☐

No ☒

(c) Explain fully all changes, if any, indicated in items (a) and (b) above.

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CRM/CES/REGISTRATION UNIT

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4 AND 5(a).

3. If you have previously filed Exhibit C¹, state whether any changes therein have occurred during this 6 month reporting period.

Yes ☐

No ☒

If yes, have you filed an amendment to the Exhibit C?

Yes ☐

No ☐

If no, please attach the required amendment.

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position	Date connection ended
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- (b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish the following information:

Name	Residence address	Citizenship	Position	Date assumed
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5. (a) Has any person named in item 4(b) rendered services directly in furtherance of the interests of any foreign principal?

Yes ☐ No ☐

If yes, identify each such person and describe his service.

N/A

- (b) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or connection	Date terminated
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- (c) During this 6 month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

If yes, furnish the following information:

Name	Residence address	Citizenship	Position	Date assumed
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6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(c) of the supplemental statement?

Yes ☐ No ☐

If no, list names of persons who have not filed the required statement.

N/A

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period?

Yes ☒ No ☐

If yes, furnish the following information:

Name of foreign principal

EMBASSY OF JAPAN

Date of termination

31 MARCH 2008

8. Have you acquired any new foreign principal² during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish the following information:

Name and address of foreign principal

Date acquired

9. In addition to those named in Items 7 and 8, if any, list foreign principals² whom you continued to represent during the 6 month reporting period.

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10. EXHIBITS A AND B

(a) Have you filed for each of the newly acquired foreign principals in Item 8 the following:

Exhibit A³ Yes ☐ No ☐
Exhibit B⁴ Yes ☐ No ☐

N/A

If no, please attach the required exhibit.

(b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during the 6 month period? Yes ☐ No ☐

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐

If no, please attach the required amendment.

² The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9).) A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

³ The Exhibit A, which is filed on Form NSD-3 (Formerly CRM-157), sets forth the information required to be disclosed concerning each foreign principal.

⁴ The Exhibit B, which is filed on Form NSD-4 (Formerly CRM-155), sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, and 9 of this statement? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail your activities and services:

CLIENT: INSTITUTE OF CETACEAN RESEARCH, TOKYO, JAPAN.

ADVISED REGARDING CONTACTS WITH MEDIA

CONTACTED STAFF OF HOUSE OF REPRESENTATIVES RE H. CON. RES. 350

CONTACTED STAFF OF US SENATE RE S. CON. RES. 86.

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity⁵ as defined below? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates and places of delivery, names of speakers and subject matter.

SEE APPENDIX I

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals? Yes ☐ No ☒

If yes, describe fully.

⁵ The term "political activities" means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION

14. (a) RECEIPTS - MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies⁶.

Date	From whom	Purpose	Amount
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SEE APPENDIX 2

Total

(b) RECEIPTS - FUND RAISING CAMPAIGN

During this 6 month reporting period, have you received, as part of a fund raising campaign⁷, any money on behalf of any foreign principal named in items 7, 8, or 9 of this statement? Yes ☐ No ☒

If yes, have you filed an Exhibit D⁸ to your registration? Yes ☐ No ☐

If yes, indicate the date the Exhibit D was filed. Date _____

(c) RECEIPTS - THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁹ other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal? Yes ☐ No ☒

If yes, furnish the following information:

Name of foreign principal	Date received	Description of thing of value	Purpose
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^{6, 7} A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. (See Rule 201(e).)

⁸ An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fund raising campaign and transmitted for a foreign principal.

⁹ Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) **DISBURSEMENTS - MONIES**

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐(2) transmitted monies to any such foreign principal? Yes ☐ No ☐

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To whom	Purpose	Amount
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SEE APPENDIX 2

 Total

(b) DISBURSEMENTS - THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value¹⁰ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes ☐No ☒

If yes, furnish the following information:

Date disposed	Name of person to whom given	On behalf of what foreign principal	Description of thing of value	Purpose
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(c) DISBURSEMENTS - POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value¹¹ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☐No ☒

If yes, furnish the following information:

Date	Amount or thing of value	Name of political organization	Name of candidate
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^{10, 11} Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks" and the like.

V - INFORMATIONAL MATERIALS

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials ¹²?

Yes ☒No ☐

IF YES, RESPOND TO THE REMAINING ITEMS IN SECTION V.

17. Identify each such foreign principal.

INSTITUTE OF CETACEAN RESEARCH

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- | | | | |
|---|---|--|---|
| <input type="checkbox"/> Radio or TV broadcasts | <input type="checkbox"/> Magazine or newspaper articles | <input type="checkbox"/> Motion picture films | <input type="checkbox"/> Letters or telegrams |
| <input type="checkbox"/> Advertising campaigns | <input type="checkbox"/> Press releases | <input type="checkbox"/> Pamphlets or other publications | <input type="checkbox"/> Lectures or speeches |
| <input type="checkbox"/> Internet | <input type="checkbox"/> Other (specify) _____ | | |

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- | | | |
|---|---|---|
| <input type="checkbox"/> Public officials | <input type="checkbox"/> Newspapers | <input type="checkbox"/> Libraries |
| <input checked="" type="checkbox"/> Legislators | <input type="checkbox"/> Editors | <input type="checkbox"/> Educational institutions |
| <input type="checkbox"/> Government agencies | <input type="checkbox"/> Civic groups or associations | <input type="checkbox"/> Nationality groups |
| <input type="checkbox"/> Other (specify) _____ | | |

21. What language was used in the informational materials:

☒ English

☐ Other (specify) _____

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes ☒ No ☐

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act?

Yes ☒No ☐

12 The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

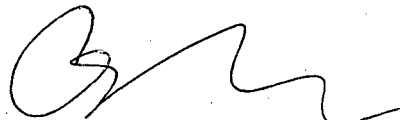
VI - EXECUTION

In accordance with 28 U.S.C. §1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Type or print name under each signature¹³)

9 JULY 2008



GAVIN J. CARTER

9 JULY 2008



R. IAN BUTTERFIELD

¹³ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

UNITED STATES DEPARTMENT OF JUSTICE
FARA REGISTRATION UNIT
NATIONAL SECURITY DIVISION
WASHINGTON, D.C. 20530

NOTICE

Please answer the following questions and return this sheet in triplicate with your Supplemental Statement:

1. Is your answer to Item 16 of Section V (Informational Materials – page 8 of Form NSD-2, formerly Form CRM-154 Supplemental Statement):

YES ✓ or NO _____

(If your answer to question 1 is "yes" do not answer question 2 of this form.)

2. Do you disseminate any material in connection with your registration:

YES _____ or NO _____

(If your answer to question 2 is "yes" please forward for our review copies of all material including: films, film catalogs, posters, brochures, press releases, etc. which you have disseminated during the past six months.)

R. Ian Butterfield
Signature

1 JULY 2008
Date

R. IAN BUTTERFIELD
Please type or print name of
Signatory on the line above

PARTNER
Title

2008 JUL 24 PM 3:47
CRM/CES/REGISTRATION UNIT

H. CON. RES. 350

Point-by-point

*Expressing the sense of the Congress....*research whaling is not “a form” of commercial whaling. it is a separate activity specifically provided for as such under Article VIII of the International Convention for the Regulation of Whaling (ICRW). Consequently, if the US wishes to terminate research whaling, it is obliged to renegotiate ICRW.

Note-under the terms of the Biden-Byrd amendment to the US Senate’s ratification of the Intermediate Nuclear Forces (INF) Treaty, no US administration is permitted simply to change its understanding of how a treaty should be interpreted. This interpretation can be grounded only in: the text of the treaty and documents and testimony supplied by the executive branch to the Senate prior to ratification.

*Whereas 79 nations...*the ICRW was not negotiated, and the International Whaling Commission (IWC) was not established, solely for the conservation of whales. ICRW reads, “Having decided to conclude a convention to provide for the proper conservation of whale stocks and thus make possible the orderly development of the whaling industry...”

Whereas the United States was instrumental in the adoption of the moratorium, and has led international efforts... the US needs to be wary of appointing itself leader of any such movement. Australia, New Zealand, Chile, Brazil, Argentina might well choose to assert precedence over the US in this area.

*Whereas despite the moratorium....*Norway and Iceland have both officially filed reservations to the moratorium on commercial whaling and, therefore, are perfectly within their legal rights to continue their whaling activities. Japan’s research activities are conducted fully in accord with Article VIII of ICRW. If any of the contracting parties to ICRW are opposed to the Japanese research program, they must commence renegotiation of ICRW.

Whereas commercial whaling in any form....undermines the conservation mandate of the Convention... the Convention contains no such conservation mandate. To the contrary, the Convention is viewed as assisting both whales and the whaling industry.

*Whereas the majority of Americans....*a 2001 poll undertaken by the International Fund for Animal Welfare, while purporting to demonstrate US popular opposition to whaling, revealed that only 15% of US parties interviewed were aware that Japan maintained any type of whaling program. If US public awareness is 15%, how can it be stated that the majority of US citizens oppose the practice? Even if this assertion were correct, this does not authorize the US to export its cultural preferences e.g. the majority of US citizens support the death penalty whereas many other Western nations oppose it. Does the US have the right to use its domestic consensus to demand that other nations begin to inflict the death penalty?

APPENDIX 1

QUESTION 12

I January – 30 June 2008

February 19 2008: meeting with Kitty Block, Humane Society of the United States. Chef Geoff's restaurant. Regarding whaling issue and future of the International Whaling Commission.

May 5 2008: meeting: Matt Hite, Counsel, Senate Committee on Environment and Public Works. Regarding violence perpetrated against Japanese research vessels.

May 16 2008: meeting with Marjorie Kaplan and Charlie Foley of Animal Planet in New York City. Regarding documentary on whaling.

May 19, 2008: meeting: Arne Fuglvog, Office of Senator Murkwoski (R-AK). Regarding House Concurrent Resolution 350 introduced by Rep. Rahall (D-WV)

May 29, 2008: meeting: David Whaley and Bonnie Bruce, House Natural Resources Committee. Regarding H. Con. Res. 350

May 30, 2008: telephone contact: Paul Berkowitz, Office of Rep. Rohrabacher (R-CA). Regarding H. Con. Res. 350

June 6, 2008: e-mail: Arne Fuglvog and Keith Luse, Senate Committee on Foreign Relations. Regarding Senate Concurrent Resolution 86.

June 13, 2008: telephone conversation and e-mail: Dennis Halpin, House Foreign Affairs Committee. Regarding H. Con. Res. 350

June 13, 2008: meeting: Keith Luse, Senate Foreign Relations Committee. Regarding S. Con. Res. 86

June 17: e-mail: David Whaley, House Natural Resources Committee: Regarding International Whaling Commission rejection of expanded aboriginal whaling quota for native Greenlanders.

APPENDIX 2

QUESTION 14 (A)

1 January 2008 – 30 June 2008

ICR = Institute of Cetacean Research, Tokyo, Japan

EoJ = Embassy of Japan

<u>Date</u>	<u>Client</u>	<u>Amount</u>	
31 January '08	ICR	\$6,320.94	\$6,000.00 monthly retainer \$305.00 filing fee \$15.94 taxi fare
29 February '08	ICR	\$6,086.16	\$6,000.00 monthly retainer \$86.16 entertainment expenses
31 March '08	ICR	\$6,000.00	\$6,000.00 monthly retainer
31 March '08	EoJ	\$30,000.00	\$30,000 quarterly retainer
30 April '08	ICR	\$6,000.00	\$6,000.00 monthly retainer
31 May '08	ICR	\$6,530.50	\$6,000.00 monthly retainer \$366.00 Amtrak fare to NYC \$20.50 taxi fares \$114.00 entertainment expenses \$20.00 publication purchase
30 June '08	ICR	\$6,000.00	\$6,000.00 monthly retainer